

**STATE OF MAINE
DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION
OFFICE OF SECURITIES**

IN RE:)	
)	
DOUGLAS G. BEZIO)	NOTICE OF HEARING
)	
No. 11-7133)	

Pursuant to 5 M.R.S. § 9052, 32 M.R.S. §16412, and Office of Securities Rule Chapter 540, the Securities Administrator hereby gives notice of a pending adjudicatory proceeding on the Petition for Discipline of Licensee Douglas G. Bezio filed with the Securities Administrator on December 1, 2011. Notice of Rights and the Petition for Discipline were served upon Respondent Bezio who filed a request for a hearing on December 29, 2011.

The Securities Administrator hereby gives notice that a hearing will be held on the Petition for Discipline of Licensee beginning at 9:00 a.m. on February 29, 2012 in the Androscoggin Room of the Maine Department of Professional and Financial Regulation, 76 Northern Avenue, Gardiner, Maine.

SCOPE OF HEARING

The purpose of the proceeding and hearing is to determine:

1. Whether Respondent Bezio has violated 32 M.R.S. § 16412(4)(B) by intentionally or knowingly violating a Consent Order signed by Respondent Bezio on September 26, 2011 and issued by the Securities Administrator on September 30, 2011;
2. Whether Respondent Bezio has violated 32 M.R.S. § 16412(M) by engaging in unlawful, dishonest, or unethical conduct in the securities business by failing to comply with said Consent Order; and
3. If Respondent Bezio is found, by a preponderance of the evidence, to have committed such violations, what discipline, under 32 M.R.S. §§ 16412(2), (3), and (4) should be imposed.

HEARING PROCEDURE

The Securities Administrator will conduct the proceeding in accordance with the provisions of the Maine Administrative Procedure Act, 5 M.R.S. §§ 9051-9062 which can be obtained at the following link:

<http://www.mainelegislature.org/legis/statutes/5/title5ch375sec0.html>;

32 M.R.S. § 16412; and Office of Securities Rule 540, Adjudicatory Procedures Rule, which can be accessed through the Office of Securities website: <http://www.maine.gov/pfr/securities/proprules.htm>. All parties to the proceeding have the right to present evidence and witnesses at the hearing and have the right to be represented by counsel. Failure of any party to appear may result in disposition by default with respect to that party. The Securities Administrator, however, may set aside a default for good cause.

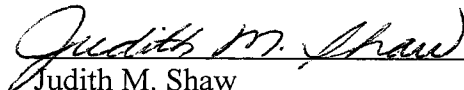
Each party must file no later than 4:00 p.m. on Wednesday, February 22, 2012, the following information:

1. A list of all witnesses to be called at hearing;
2. A list and copy of all documents to be used or introduced at the hearing;
3. Identification of who will represent the party at the hearing;
4. A description of the legal and factual issues to be determined by the hearing;
5. An estimate of the time needed for the hearing; and
6. A description of any other issues that should be addressed before the hearing.

Failure to take any required action within the time specified may result in the refusal to admit certain exhibits into evidence, refusal to allow certain witnesses to testify, or default pursuant to 5 M.R.S. § 9053(3).

Unless otherwise directed, all communications to the Securities Administrator must be in writing with copies to the opposing party and served in accordance with the requirements of Office of Securities Rule Chapter 540.

Dated: January 17, 2012



Judith M. Shaw
Securities Administrator